

## **Paracompact U-spaces**

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### **ABSTRACT**

This is the second in a series of papers on U- spaces. Here paracompactness has been introduced for U- spaces and many topological theorems related to paracompactness have been generalized to U- spaces, as an extension of study of supratopological spaces.

**Keywords:** Supratopology, U-space, paracompact, locally finite refinement, barycentric refinement.

### **1. Introduction**

In a previous paper [1] we have introduced U- spaces and studied some of their properties. In this paper we use the terminology of [1]. Some study of these spaces was done previously in ([2,3,9,11]) in less general form, and the spaces were called supratopological spaces.

The concept of paracompactness for topological spaces was defined by Dieudonne [4]. This concept has been proved to be very important and useful. In this paper the notion of a paracompact U- space has been introduced and a number of sufficient conditions for paracompactness for such spaces have been established.

In connection with paracompactness of U- spaces. We have generalized the concepts of refinement, locally finite, countably locally finite, star and barycentric refinements in U- spaces and proved the U- space- versions of a few theorems concerning paracompact topological spaces (see [5,8,10]). A few relevant examples have been provided.

### **2. Paracompact U-spaces**

We start with a few necessary definitions in U- spaces which generalize the corresponding topological concepts.

**Definition 2.1.** Let  $\mathcal{G}$  be a collection of subsets of the U- space  $X$ . A collection  $\mathcal{B}$  of subsets of  $X$  is said to be a U- refinement of  $\mathcal{G}$  (or is said to refine  $\mathcal{G}$ ) if for each element  $B$  of  $\mathcal{B}$ , there is an element  $G \in \mathcal{G}$ , such that  $B \subseteq G$ . If the elements of  $\mathcal{B}$  are open sets, we call  $\mathcal{B}$  a U-open refinement of  $\mathcal{G}$ ; if they are closed sets, we call  $\mathcal{B}$  a U- closed refinement of  $\mathcal{G}$ .

**Definition 2.2.** A collection  $\mathcal{G}$  of subsets of a U- space  $X$  is locally finite if every point of  $X$  has a neighborhood that intersects only finitely many members of  $\mathcal{G}$ .

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Thus, for a U-space  $X$  and a collection  $\{A_\alpha\}$  of subsets of  $X$ ,  $\{A_\alpha\}$  is locally finite if, for each  $x \in X$ , there exists a U-open set  $G$  containing  $x$  such that

$$G \cap A_\alpha \neq \Phi, \text{ for only a finite number of } \alpha \text{ 's.}$$

Locally finite collections are also called neighborhood- finite.

**Example 2.1.** Let  $X = \mathbb{N}$  and let  $\mathcal{U}$  consist of  $X$ ,  $\Phi$  and all subsets of  $\mathbb{N}$  of the form  $G_n = \{n, n + 1, n + 2, n + 3\}$  and their unions. Then  $(X, \mathcal{U})$  is a proper U- space, since  $G_1 \cap G_2 = \{2, 3, 4\} \notin \mathcal{U}$ . Let  $\mathcal{T}$  denote the family of sets  $C_k = \{n \in \mathbb{N} \mid n \geq k\}$ ,  $k \in \mathbb{N}$ . Let  $x \in X$ . Then  $x = n_0$ , for some  $n_0 \in \mathbb{N}$ . For the neighborhood,  $G_{n_0} = \{n_0, n_0 + 1, n_0 + 2, n_0 + 3\}$  of  $x$ ,  $G_{n_0} \cap C_k \neq \Phi$ , only for  $k = 1, 2, 3, \dots, n_0 + 3$ . Hence  $\mathcal{T}$  is locally finite.

**Definition 2.3.** A collection  $\mathcal{T}$  of subsets of a U- space  $X$  is said to be countably locally finite if  $\mathcal{T}$  is a countable union of locally finite collections  $\mathcal{T}_n$  i.e.,  $\mathcal{T} = \bigcup_{n=1}^{\infty} \mathcal{T}_n$ .

**Example 2.2.** Let  $(X, \mathcal{U})$  be the proper U- space of example 2.1. For each positive integer  $k$  and  $m$ , let  $\mathcal{T}_{k, m} = \{n \in \mathbb{N} \mid n \geq \frac{k}{m}\}$ , Let  $\mathcal{T}_m = \{\mathcal{T}_{k, m}\}_{k \in \mathbb{N}}$ . For each  $m$ ,  $\mathcal{T}_m$  is locally- finite. Therefore  $\mathcal{T} = \bigcup_m \mathcal{T}_m$  is countably locally- finite.

**Definition 2.4.** A U-space  $X$  is paracompact if  $X$  is Hausdorff and every U- open cover  $\mathcal{T}$  of  $X$  has a locally finite U-open refinement of  $\mathcal{T}$  that covers  $X$ .

Clearly, any compact Hausdorff U-space is paracompact.

We now give a non- trivial example of a paracompact U- space.

**Example 2.3.** Let  $X = \mathbb{Z}$  and  $\mathcal{U} =$  The collection of all  $A_n$ 's and their unions, where for  $n \in \mathbb{Z}$ ,  $A_n = \{x \in X : n \leq x \leq n + 3\}$ . Then,  $\mathcal{U}$  is a U- structure but not a topology, since  $A_1 \cap A_2 = \{x \in X : 2 \leq x \leq 4\}$  which does not belong to  $\mathcal{U}$ . Also,  $(X, \mathcal{U})$  is Hausdorff. For, if  $m, n \in \mathbb{Z}$ ,  $m \neq n$ , then let  $m < n$ ,  $m \in A_{m-3}$ ,  $n \in A_n$ , and  $A_{m-3} \cap A_n = \Phi$ .

We shall now show that every U-open cover of  $X$  has a locally finite refinement. Let  $\mathcal{T}$  be a U-open cover of  $X$ . For each  $x \in X$ ,  $x \in A_{n,x} \subseteq G_x$ , for some  $A_{n,x} \in A_n$ , where  $G_x$  is a member of  $\mathcal{T}$ . (Such  $A_{n,x}$  and  $G_x$  exist.  $G_x$  exists because  $\mathcal{T}$  is a U- open cover of  $X$ . And, by definition,  $G_x$  is a union of a class of  $A_n$ 's at least one of which must contain  $x$ . Call this  $A_n$   $A_{n,x}$ ).

Let  $\mathcal{H} = \{A_{n,x} : x \in X\}$ . Then  $\mathcal{H}$  is a refinement of  $\mathcal{T}$  which covers  $X$ . Let  $x_0 \in X$  and let  $G = A_{n, x_0}$ . Then  $G$  is a U- open set containing  $x_0$  and  $G$  intersects only seven members of  $\mathcal{H}$ , viz,  $A_{n-3, x_0}$ ,  $A_{n-2, x_0}$ ,  $A_{n-1, x_0}$ ,  $A_{n, x_0}$ ,  $A_{n+1, x_0}$ ,  $A_{n+2, x_0}$ ,  $A_{n+3, x_0}$ . Thus,  $\mathcal{H}$  is locally finite refinement of  $\mathcal{T}$  which covers  $X$ .

Hence  $X$  is a paracompact U- space which is not a topological space.

## Paracompact U-spaces

It is clear that an infinite number of such proper paracompact U- spaces can be similarly constructed.

Our next example is a proper U- space which is not paracompact but in which every U- open cover has a locally finite refinement that covers X.

**Example 2.4.** Let  $X = \mathbb{Z}$ , fix  $x_0 \in \mathbb{Z}$ . For each  $x \in \mathbb{Z}$ , let  $A_x = \{x_0, x, x+1, x+2\}$ . Let  $\mathcal{U}$  be the collection of  $\Phi$ , all  $A_x$ 's,  $x \in \mathbb{Z}$  and their unions. Then  $(X, \mathcal{U})$  is U- space, but not a topological space. Since  $A_{x+1} \cap A_{x+5} = \{x_0\} \notin \mathcal{U}$ .

Let  $\mathcal{C}$  be an U- open cover of X. Let  $x \in X$ . Then there is a  $G_x \in \mathcal{C}$  such that  $x \in G_x$  and so  $x \in A_y$ , for some  $y \in X$ . Let  $\mathcal{D}$  be the collection of all sets  $B_y$ 's such that for some  $y_0$ ,  $B_{y_0} = A_{y_0}$ , and for each  $y \neq y_0$ ,  $B_y = A_y - \{x_0\}$ .

Then  $\mathcal{D}$  is a refinement of  $\mathcal{C}$  and covers X. Now  $A_y$  is a U–open set containing x and it is clear that  $A_y$  intersects only a finite number of  $B_y$ 's. Thus  $\mathcal{D}$  is a locally finite refinement of  $\mathcal{C}$ .

We now note that  $(X, \mathcal{U})$  is not Hausdorff, since for each  $x, y \in \mathbb{Z}$ ,  $A_x \cap A_y \neq \Phi$ . Hence X is not paracompact.

We recollect that the usual U- space  $\mathbb{R}$  is  $\mathbb{R}$  with the U – structure consisting of all subsets of  $\mathbb{R}$  of the forms  $(-\infty, a)$  and  $(b, \infty)$  and their unions.

**Remark 2.1.**  $\mathbb{R}$  with the usual topology is paracompact. But the usual U- space  $\mathbb{R}$  is not paracompact. We prove its truth below:

For  $\mathcal{C} = \{(-\infty, a) | a \in \mathbb{R}\}$  is an open cover of  $\mathbb{R}$ . If  $x \in \mathbb{R}$ , and  $x \in G$  with  $G$  is U- open, then  $G$  is the form  $\bigcup_{i,j} [(-\infty, a_i) \cup (b_j, \infty)]$ , for some  $a_i$ 's,  $b_j$ 's, and  $x$  belongs to some  $(-\infty, a_i)$  or, some  $(b_j, \infty)$ .

If  $\mathcal{D}$  is a refinement of  $\mathcal{C}$  which covers  $\mathbb{R}$ , then  $\mathcal{D}$  is a collection of sets of the form  $(-\infty, c)$ , where  $c < a$ , for each  $a$  with  $(-\infty, a) \in \mathcal{C}$ . Clearly,  $\mathcal{D}$  is an infinite collection of U- open sets, and  $G$  meets infinitely many members of  $\mathcal{D}$ . So  $\mathcal{D}$  is not locally finite. Thus  $\mathcal{C}$  has no locally finite refinement.

Let  $(X, \mathcal{U})$  be a U- space and  $\mathcal{F} = \mathcal{F}_{\mathcal{U}}$  be the topology generated by  $\mathcal{U}$  on X. Then we have the following theorem.

**Theorem 2.1.** If  $(X, \mathcal{U})$  is paracompact, then  $(X, \mathcal{F}_{\mathcal{U}}) = (X, \mathcal{F})$  is paracompact.

**Proof:** Clearly  $(X, \mathcal{F})$  is Hausdorff if  $(X, \mathcal{U})$  is Hausdorff. Let  $\mathcal{C}$  be an open cover of X in  $(X, \mathcal{F})$ . For each  $x \in X$ , there exists  $G_x$  in  $\mathcal{C}$  such that  $x \in G_x$ . Then  $G_x$  contains a set  $H_x$  such that  $x \in H_x$  and  $H_x$  is the intersection of a finite collection of sets  $U_{1,x}, U_{2,x}, \dots, U_{r,x}$  in  $\mathcal{U}$ . Choose any  $U_{i,x}$  and call it  $U_x$ . Let  $\mathcal{D} = \{U_x : x \in X\}$ . Then,  $\mathcal{D}$  is a U - cover of X.

Since  $(X, \mathcal{U})$  is paracompact,  $\mathcal{D}$  has a locally finite refinement say  $\mathcal{D}'$  which covers X. For each  $y$  in X, let  $y \in V_y \in \mathcal{D}'$ . Let  $H_y = G_y \cap V_y$ .

Then  $\mathcal{C}' = \{H_y: y \in X\}$  is a open cover of  $X$ .  $\mathcal{C}'$  is a locally finite refinement of  $\mathcal{C}$ , since  $\mathcal{D}'$  is a locally finite refinement of  $\mathcal{D}$ . Thus  $(X, \mathcal{C}')$  is paracompact.

Our next theorems are generalizations of Theorems in [8] ( p. 160-161).

**Theorem 2.2.** Every paracompact U-space  $X$  is normal.

**Proof:** Let  $X$  be a paracompact U-space. Firstly, we shall show that  $X$  is regular. Let  $x \in X$  and  $B$  be a U-closed subset of  $X$ , where  $x \notin B$ . since  $X$  is Hausdorff, for every  $b \in B$  there exist two disjoint U- open sets  $U_b, V_b$  such that  $x \in U_b$  and  $b \in V_b$ . So  $x \notin \overline{V_b}$ . Then  $\mathcal{C} = \{V_b\}_{b \in B} \cup \{X - B\}$  is a U- open cover of  $X$ . Since  $X$  is paracompact, there exists a locally finite refinement  $\mathcal{D}$  of  $\mathcal{C}$  which is a U-open cover of  $X$ . Let  $\mathcal{E}$  be the sub collection of  $\mathcal{D}$  consisting of all those members of  $\mathcal{D}$  which intersect  $B$ . Then  $\mathcal{E}$  is a U-open cover of  $B$ . Since for every  $b \in B, x \notin \overline{V_b}$ , so for every  $E \in \mathcal{E}, x \notin \overline{E}$ .

Let  $W = \bigcup_{E \in \mathcal{E}} E$ , then  $W$  is U- open set of  $B$ . We shall now show that  $\overline{W} =$

$\bigcup_{E \in \mathcal{E}} \overline{E}$ . Obviously,  $\bigcup_{E \in \mathcal{E}} \overline{E} \subseteq \overline{W}$ . If possible, suppose  $x \in \overline{W}$ . Then for every U- open set  $G$  containing  $x, G \cap W \neq \Phi$ . Since  $E$  is locally finite,  $G$  intersects only a finite number of members say  $E_1, E_2, E_3, \dots, E_r$  of  $\mathcal{E}$ .

Let  $W_1 = E_1 \cup E_2 \cup E_3 \cup \dots \cup E_r$  and  $W_2 = \bigcup_{E \in \mathcal{E}, E \neq E_1, E_2, E_3, \dots, E_r} E$ .

So,  $G \cap W_2 = \Phi$ . This implies that  $x \notin \overline{W_2}$ . Since  $\overline{W} = \overline{W_1} \cup \overline{W_2}$ ,  $x \in \overline{W_1} = \overline{E_1} \cup \overline{E_2} \cup \overline{E_3} \cup \dots \cup \overline{E_r}$ . So,  $\overline{W} \subseteq \bigcup_{E \in \mathcal{E}} \overline{E}$ .

Thus,  $\overline{W} = \bigcup_{E \in \mathcal{E}} \overline{E}$ . But this is a contradiction, since  $x \notin \overline{E}$  for each  $E$ . So  $x \in \overline{W}$ ..

Hence  $\overline{W}$  is a U- open set containing  $x$ . Therefore  $X$  is regular.

Now let  $A$  and  $B$  be two U- closed subsets. Since  $X$  is regular, for every  $a \in A$  and for  $B$  there exist disjoint U- open set  $U_a$  and  $V_a$  such that  $a \in U_a$  and  $B \subseteq V_a$ . One merely repeats the same argument, there exists a U- open set  $W = \bigcup_{E \in \mathcal{E}} E$  containing  $A$ ,

where (i)  $\mathcal{E}$  is a locally finite U- open cover of  $A$  and (ii) Every  $\overline{E} \cap B = \Phi$ . Since  $\mathcal{E}$  is U-locally finite,  $\overline{W} = \bigcup_{E \in \mathcal{E}} \overline{E}$ , and  $B \subseteq \overline{W}$ . Hence  $X$  is normal.

**Theorem 2.3** Every U- closed subspace of a paracompact U- space is paracompact.

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**Proof:** Let  $X$  be a paracompact U-space and  $Y$  be a U-closed subspace of  $X$ . Obviously,  $Y$  is Hausdroff. Let  $\mathcal{C}' = \{C'_\alpha\}$  be a U-open cover set of  $Y$ . Then for each  $C'_\alpha = C_\alpha \cap Y$ , where  $C_\alpha$  is a U-open set of  $X$ . Now supposes  $\mathcal{C} = \{C_\alpha\}$ .

Then  $\mathcal{D} = \{C_\alpha\} \cup \{Y^c\}$  is a U-open cover of  $X$ . Since  $X$  is a paracompact, there exists locally finite U- open cover  $\mathcal{E}$  which is a refinement of  $\mathcal{D}$ .  $\mathcal{E}$  is the sub collection of members of  $\mathcal{E}$  whose members are not subsets of  $Y^c$  and  $\mathcal{E}$  is refinement of  $\mathcal{C}$ . For this reason  $\mathcal{E}$  is a U-open cover of  $Y$  and a refinement of  $\mathcal{C}'$ . Since  $\mathcal{E}$  is locally finite,  $\mathcal{E}$  is locally finite.

Hence  $Y$  is paracompact.

**Remark 2.2.** A U- subspace of a paracompact U- space need not be paracompact.

Since this statement is true about topological spaces (see [8], p.161), it is also true about U- spaces.

For proof, we need to define a special U- structure on  $R$  which is called the lower limit U- structure. This U- space is denoted by  $R_l$ .

**Definition 2.5.** Let  $\mathcal{C}$  be the collection of subsets of the form  $[a, b) = \{x \mid a \leq x < b\}$ , where  $a < b$ , the U- structure generated by  $\mathcal{C}$  is called the lower limit U- structure on  $R$ .

**Theorem 2.4.** Product of two paracompact U-spaces need not be paracompact.

**Proof:** As for topological spaces one can be shown that the U- space  $R_l$  is paracompact, but  $R_l \times R_l$  is not normal, and hence, not paracompact.

We now generalize the theorems and lemma in [8]( p. 162 - 166). The proofs are almost the same as those for topological spaces.

**Theorem 2.5.** Let  $X$  be a regular U-space and let  $\mathcal{C}$  be a U- open cover of  $X$ . Consider the following conditions on  $\mathcal{C}$ :  $\mathcal{C}$  has a refinement which is

- (i) a U- open cover of  $X$  and count ably locally finite,
- (ii) a cover of  $X$  and locally finite,
- (iii) a U-closed cover of  $X$  and locally finite,
- (iv) a U- open cover of  $X$  and locally finite.

Among the above four conditions on  $\mathcal{C}$ , the following implications hold;  
(iii)  $\Rightarrow$  (iv)  $\Rightarrow$  (i)  $\Rightarrow$  (ii).

**Proof:** It is trivial that (iv)  $\Rightarrow$  (i).

(i)  $\Rightarrow$  (ii) Let  $\mathcal{E}$  be a U- open cover of  $X$ . Let  $\mathcal{B}$  be an U-open refinement of  $\mathcal{E}$  that covers  $X$  and is countably locally finite i.e.  $\mathcal{B} = \cup \mathcal{B}_n$ , where  $\mathcal{B}_n$  is a locally finite. Let  $V_i = \bigcup_{U \in \mathcal{B}} G$  and for each  $n \in \mathbb{N}$  and each  $G \in \mathcal{B}_n$ , define  $S_n(G) = G - \bigcup_{i < n} V_i$ . Let  $\mathcal{C}_n = \{S_n(G) \mid G \in \mathcal{B}_n\}$ . Since  $S_n(G) \subseteq G$ , then  $\mathcal{C}_n$  is refinement of  $\mathcal{B}_n$ , because  $S_n(G) \subseteq G$ ,

for each  $G \in \mathcal{B}_n$ . Let  $\mathcal{C} = \cup \mathcal{C}_n$ . We shall show that  $\mathcal{C}$  is a locally finite collection refinement of  $\mathcal{G}$ , covers  $X$ . Suppose  $x \in X$ . We shall show that for any one  $S_n(G)$ ,  $x \in S_n(G)$  a neighborhood of  $x$  that intersects finite elements  $\mathcal{C}$ . Since  $\mathcal{B}$  covers  $X$ , there is a smallest positive integer number  $n_0$  such that  $x \in G \in \mathcal{B}_{n_0}$ . Since  $x$  does not belong to any member of  $\mathcal{B}_i$  for  $i < n_0$ ,  $x \in S_{n_0}(G) \in \mathcal{C}$ . Since each collection  $\mathcal{B}_n$  is locally finite, we can choose for each  $n = 1, 2, 3, \dots, n_0$  a neighborhood  $W_n$  of  $x$  that intersects only finitely many members of  $\mathcal{B}_n$ . Now if  $W_n$  intersects the member  $S_n(V)$  of  $\mathcal{C}$ ,  $W_n$  must intersect the member  $V$  of  $\mathcal{B}_n$ , since  $S_n(V) \subset V$ .

Therefore,  $W_n$  intersects only finitely many members of  $\mathcal{C}$ . Furthermore, because  $G \in \mathcal{B}_n$ ,  $G$  does not intersect any element of  $\mathcal{C}_n$ , for  $n > n_0$ . As a result, the neighborhood  $W_1 \cap W_2 \cap W_3 \cap \dots \cap W_{n_0} \cap G$  of  $x$  intersects only finitely many elements of  $\mathcal{C}$ .

(iii)  $\Rightarrow$  (iv)

Let be  $\mathcal{G}$  a U-open cover of  $X$ . Using (iii) Choose  $\mathcal{B}$  be a refinement of  $\mathcal{G}$  that is locally finite and a U-closed cover of  $X$ . Now we consider for every  $B \in \mathcal{B}$  a U-open set  $D(B) \supseteq B$  that the collection  $\{D(B) | B \in \mathcal{B}\}$  is also locally finite and refinement of  $\mathcal{G}$ . Since  $B$  is locally finite. For every  $x \in X$ , there exist a neighborhood  $N_x$  of  $x$  that intersect finite members of  $\mathcal{B}$ . Then  $\{N_x | x \in X\}$  is a U-open cover of  $X$ .

According to (iii) there is a collection  $\mathcal{C}$  refinement of  $\{N_x | x \in X\}$  that is U-closed cover of  $X$ . Clearly for every  $C \in \mathcal{C}$  intersects finite members  $B \in \mathcal{B}$ . For each  $B \in \mathcal{B}$ , let  $\mathcal{C}(B) = \{C : C \in \mathcal{C} \text{ and } C \subseteq X - B\}$ .

Again let,  $E(B) = X - \bigcup_{C \in \mathcal{C}(B)} C$ . By lemma-“Let  $\{A_\alpha\}$  be locally finite collection

of subsets of  $X$ . Then (a) Any sub collection of  $\{A_\alpha\}$  is locally finite. (b)  $\{\overline{A_\alpha}\}$  is locally finite. (c)  $\overline{\bigcup_\alpha A_\alpha} = \bigcup_\alpha \overline{A_\alpha}$ .”  $\bigcup_{C \in \mathcal{C}(B)} C$  is U-closed. So  $E(B)$  is an U-open set. According to the definition  $E(B) \supseteq B$ . The collection  $\{E(B)\}$  is a U-open cover of  $X$ . For each  $B \in \mathcal{B}$ ,  $F(B) \in \mathcal{G}$ , where  $F(B) \supseteq B$ .

Let  $\mathcal{D} = \{E(B) \cap F(B) | B \in \mathcal{B}\}$ . Then the collection  $\mathcal{D}$  is refinement of  $\mathcal{G}$  and U-open cover of  $X$ . Since  $B \subseteq E(B) \cap F(B)$  and  $B$  is a U-open cover of  $X$ . Suppose  $x \in X$ . Now we shall show that  $\mathcal{D}$  is locally finite. Since  $\mathcal{C}$  is locally finite, there exists a neighborhood  $W$  of  $x$  that intersects only finite members of  $C$ , (say)  $C_1, C_2, C_3, \dots, C_n$ . Since  $\mathcal{C}$  is U-cover of  $X$ , so  $W \subseteq C_1 \cup C_2 \cup C_3 \cup \dots \cup C_n$ .

Now if any member  $C$  of  $\mathcal{C}$  intersects the set  $E(B) \cap F(B)$ , then  $C \not\subseteq X - B$ . Therefore  $C$  intersects  $B$ . Since  $C$  intersect finite members  $B$ , so  $C$  will intersect maximum members of  $\mathcal{D}$ . Therefore  $W$  will also intersect finite members of  $\mathcal{D}$ .

Now if we write  $E(B) \cap F(B)$ , the collection  $\mathcal{D} = \{D(B) | B \in \mathcal{B}\}$  is refinement of  $\mathcal{G}$  and is a locally finite U-open cover of  $X$ .

## Paracompact U-spaces

**Comment 2.1.** [5] The properties (i) - (iv) of the above Theorem 2.5 can also be stated as :

- (a) Each U- open covering of X has a U-open refinement that can be decomposed into an at most countable collection of locally finite families of U-open sets.
- (b) Each U- open covering of X has a locally finite refinement, consisting of sets not necessarily either U- open or U-closed.
- (c) Each U- open covering of X has a U- closed locally finite refinement.
- (d) X is paracompact.

We now generalize the theorems in [8] (p. 165-166).

**Theorem 2.6.** If a locally compact Hausdorff U- space X is a countable union of compact U-spaces then X is paracompact.

**Proof:** Let X be a locally compact Hausdorff U-space and  $X = \bigcup_n C_n$ , where  $C_n$  is compact. Let for each n,  $C_n \subseteq C_{n+1}$  (We can assume this, for otherwise we can consider  $C'_n$  instead of  $C_n$  where  $C'_n = \bigcup_{i=1}^n C_i$ ). At first we shall show that  $X = \bigcup W_n$ , where  $W_n$  is U-open,  $\overline{W_n}$  is compact and  $\overline{W_n} \subseteq W_{n+1}$ . Let  $x \in C_1$  and let  $G_x$  be a neighborhood of x, where  $\overline{G_x}$  is compact. Then  $\{G_x\}_{x \in C_1}$  is a U - open cover of  $C_1$ . Since  $C_1$  is compact, there is a finite U-open subcover  $\{G_{x_1}, G_{x_2}, \dots, G_{x_n}\}$  of  $C_1$ . Let  $W_1 = \bigcup_{i=1}^n G_{x_i}$ .

Therefore  $\overline{W_1}$  is compact, this implies that  $C_2 \cup \overline{W_1}$  is compact. Suppose  $W_2$  is a U-open set of  $C_2 \cup \overline{W_1}$  obtained in the same way as the U-open set  $W_1$  of  $C_1$ . So  $\overline{W_2}$  is compact,  $C_2 \subseteq W_2$  and  $\overline{W_1} \subseteq W_2$ . Let, for each  $m < n$ , the U-open set  $W_m$  be defined in a similar manner such that  $C_m \subseteq W_m$ ,  $\overline{W_m}$  is compact and  $\overline{W_m} \subseteq W_{m+1}$ . Proceeding as before we get for each positive integer  $n \geq 2$  a U-open set  $W_n$  of  $C_n \cup \overline{W_{n-1}}$ , where  $\overline{W_n}$  is compact and  $\overline{W_{n-1}} \subseteq W_n$ .

Let  $\mathcal{W}^\circ = \{G_\alpha\}$  be a U-open cover of X and  $K_n = \overline{W_n} - W_{n-1}$ . Then  $K_n$  is compact. Now for every  $x \in K_n$ , there is a neighborhood  $V_x$  of x such that for any  $\alpha$ ,  $V_x \subseteq G_\alpha$ . Assume that  $V_x \subseteq W_{n+1}$ , since  $\overline{W_n} \subseteq W_{n+1}$  and  $V_x \cap W_{n-2} = \Phi$ , since  $\overline{W_{n-2}} \subseteq W_{n-1}$ . Since  $K_n$  is compact, so there is a finite cover  $\mathcal{D}_n = \{V_{x_1}, V_{x_2}, \dots, V_{x_n}\}$  of  $K_n$ . We denote by  $\mathcal{V}^\circ$  the union of the finite covers  $\mathcal{D}_n$  of  $K_n$  for all n. Then  $\mathcal{V}^\circ$  is a U- open cover of X and since  $V_x \in \mathcal{V}^\circ$  is contained in a  $G_\alpha \in \mathcal{W}^\circ$ .  $\mathcal{V}^\circ$  is refinement of  $\mathcal{W}^\circ$ . Suppose  $x \in X$ . Then there exists a least natural number n such that  $x \in \overline{W_n}$ . Since  $x \notin W_{n-1}$ , so,  $x \in K_n$ . As a result there is a neighborhood  $V \in \mathcal{V}^\circ$

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which intersect only finite member of those members of  $\mathcal{T}^\rho$  which covers  $K_{n-2}, K_{n-1}, K_n$  and  $K_{n+1}$ .

**Theorem 2.7.** A locally compact Hausdorff U-space with a countable basis is paracompact.

**Proof:** Let  $X$  be a locally compact Hausdorff U-space with a countable basis and let  $\{B_n\}$  be a countable basis of  $X$ . Let  $x \in X$ . Then there exists a neighborhood  $V_x$  of  $x$ , such that  $\overline{V_x}$  is compact. Again since  $\{B_n\}$  is basis,  $x \in B_n(x) \subseteq V_x$ , for some  $n$ . Since  $\overline{V_x}$  is compact, every  $\overline{B_n(x)}$  is compact. So  $X$  is a union of  $\overline{B_n(x)}$ . Hence  $X$  is paracompact. The following five theorems are the U- space generalization of those in [5]. To prove the next theorem we need a lemma.

**Lemma 2.1.** If  $X, Y$  are U- spaces with  $X$  normal, and  $p: X \rightarrow Y$  is a U-continuous U-closed surjection, then  $Y$  is too normal.

**Proof:** Let  $A$  and  $B$  be two disjoint U- closed sets in  $Y$ . Since  $p$  is U- continuous,  $p^{-1}(A)$  and  $p^{-1}(B)$  are disjoint U- closed sets in  $X$ .  $X$  being normal, there are disjoint U-open sets  $G$  and  $H$  in  $X$  such that  $p^{-1}(A) \subseteq G, p^{-1}(B) \subseteq H$ . Since  $p$  is U- closed,  $p(G)$  and  $p(H)$  are disjoint U- open sets in  $Y$  with  $A \subseteq p(G), B \subseteq p(H)$ . Thus  $Y$  is normal.

We now generalize the theorems in [5]

**Theorem 2.8.** Every U-continuous closed image of a paracompact U-space is paracompact.

**Proof:** Let  $X$  and  $Y$  be U- spaces with  $X$  paracompact, and let  $p: X \rightarrow Y$  be U- continuous U-closed surjection mapping. Let  $\{G_\alpha \mid \alpha \in \mathcal{A}\}$  be any U- open covering of  $Y$ . Since  $X$  is normal and  $p$  is U-continuous, U-closed and surjection,  $Y$  is normal. By Theorem 2.5 and comment 2.1 it suffices to show that  $\{G_\alpha \mid \alpha \in \mathcal{A}\}$  has an U- open refinement which can be decomposed into at most countably many locally finite families. We assume  $\mathcal{A}$  is well-ordered and begin by constructing a U-open covering  $\{V_{\alpha,n} \mid (\alpha,n) \in \mathcal{A} \times \mathbb{Z}^+\}$  of  $X$  such that:

(i) For each  $n$ ,  $\{\overline{V_{\alpha,n}} \mid \alpha \in \mathcal{A}\}$  is a U-covering of  $X$  and a precise locally finite refinement of  $\{p^{-1}(G_\alpha) \mid \alpha \in \mathcal{A}\}$ .

(ii) If  $\beta > \alpha$  then  $p(\overline{V_{\beta,n+1}}) \cap p(\overline{V_{\alpha,n}}) = \Phi$ .

Proceeding by induction, we take a precise U-open locally finite refinement of  $\{p^{-1}(G_\alpha)\}$  and shrink it by normality of  $X$  to get  $\{\overline{V_{\alpha,i}}\}$ . Assuming  $\{V_{\alpha,i}\}$  to be



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defined for all  $i \leq n$ , let  $W_{\alpha, n+1} = p^{-1}(G_\alpha) - p^{-1}p(\bigcup_{\lambda < \alpha} \overline{V}_{\lambda, n})$ . Each  $W_{\alpha, n+1}$  is U- open, since by local finiteness  $\bigcup_{\lambda < \alpha} \overline{V}_{\lambda, n}$  is U- closed and  $p$  is a U- closed map.

Furthermore,  $\{W_{\alpha, n+1} \mid \alpha \in \mathcal{A}\}$  is a U- covering of  $X$ : given  $x \in X$ , let  $\alpha_0$  be the first index for which  $x \in p^{-1}(G_{\alpha_0})$ ; then  $x \in W_{\alpha_0, n+1}$ , since  $p^{-1}p(\overline{V}_{\lambda, n}) \subset p^{-1}(G_\lambda)$  for each  $\lambda$ . Taking a precise, U- open locally finite refinement of  $\{W_{\alpha, n+1} \mid \alpha \in \mathcal{A}\}$ , shrink it to get  $\{\overline{V}_{\alpha, n+1}\}$ . Clearly, condition (i) holds, and since  $\overline{V}_{\beta, n+1}$  is not in the inverse image of any  $p(\overline{V}_{\alpha, n})$  for  $\alpha < \beta$ , condition (ii) is also satisfied.

For each  $n$  and  $\alpha$ , let  $H_{\alpha, n} = Y - p(\bigcup_{\beta \neq \alpha} V_{\beta, n})$  which is an U- open set. We have

- (a)  $H_{\alpha, n} \subset p(\overline{V}_{\alpha, n}) \subset G_\alpha$  for each  $n$  and  $\alpha$ . Indeed,  
 $p^{-1}(H_{\alpha, n}) = X - p^{-1}p(\bigcup_{\beta \neq \alpha} V_{\beta, n}) \subset X - p^{-1}p(X - \overline{V}_{\alpha, n}) \subset \overline{V}_{\alpha, n} \subset p^{-1}(G_\alpha)$ .
- (b).  $H_{\alpha, n} \cap H_{\beta, n} = \Phi$  for each  $n$  whenever  $\alpha \neq \beta$ . In fact,  
 $y \in H_{\alpha, n} \Rightarrow y \in p(\overline{V}_{\alpha, n})$  and is in no other  $p(\overline{V}_{\beta, n})$ .
- (c).  $\{H_{\alpha, n} \mid (\alpha, n) \in \mathcal{A} \times Z^+\}$  is an U- open covering of  $Y$ . Let  $y \in Y$  be given; for each fixed  $n$  there is, because of (i), a first  $\alpha_n$  with  $y \in p(\overline{V}_{\alpha_n, n})$ ; choosing now  $\alpha_k = \min\{\alpha_n \mid n \in Z^+\}$ , we have  $y \in p(\overline{V}_{\alpha_k, k})$ . If  $\beta < \alpha_k$ , then the definition of  $\alpha_k$  shows  $y \notin p(\overline{V}_{\beta, k+1})$ ; if  $\beta > \alpha_k$ , then by (ii), we find that  $y \notin p(\overline{V}_{\beta, k+1})$ ; therefore we conclude that  $y \in H_{\alpha_k, k+1}$ .

To complete the proof, we need only modify the  $H_{\alpha, n}$  slightly to assure locally finiteness for each  $n$ . Choose a precise U- open locally finite refinement of  $\{p^{-1}(H_{\alpha, n}) \mid (\alpha, n) \in \mathcal{A} \times Z^+\}$ , and shrink it to get an U- open locally finite covering  $\{K_{\alpha, n}\}$  satisfying  $p(\overline{K}_{\alpha, n}) \subset H_{\alpha, n}$ . For each  $n$ , let  $S_n = \{y \mid \text{some nbd of } y \text{ intersects at most one } H_{\alpha, n}\}$ ;  $S_n$  is U- open and contains the U-closed  $\bigcup_{\alpha} p(\overline{K}_{\alpha, n}) = p(\bigcup_{\alpha} \overline{K}_{\alpha, n})$ , so by normality of  $Y$  we find an U-open  $G_n$  with  $\bigcup_{\alpha} p(\overline{K}_{\alpha, n}) \subset$

$G_n \subset \overline{G_n} \subset S_n$ . The U-open covering  $\{ G_n \cap H_{\alpha,n} \mid (\alpha,n) \in \mathcal{A} \times Z^+ \}$ , with the decomposition  $\{ G_n \cap H_{\alpha,n} \mid \alpha \in \mathcal{A} \}$  for  $n = 1, 2, 3, \dots$  satisfies the conditions of Theorem 2.5 and comment 2.1 for the given  $\{G_\alpha\}$ .

**Definition 2.6.** Let  $\mathcal{G} = \{G_\alpha \mid \alpha \in \mathcal{A}\}$  be a covering of U- space X. For any  $B \subset X$  the set  $\cup \{ G_\alpha \mid B \cap G_\alpha \neq \Phi \}$  is called the U-**star of B** with respect to  $\mathcal{G}$ , and is denoted by  $St(B, \mathcal{G})$ .

**Definition 2.7.** A U-covering  $\mathcal{B}$  is called a U- barycentric refinement of a U-covering  $\mathcal{G}$  whenever the covering  $\{St(x, \mathcal{B}) \mid x \in X\}$  refines  $\mathcal{G}$ .

**Theorem 2.9.** Let X be normal U- space, and  $\mathcal{G} = \{G_\alpha \mid \alpha \in \mathcal{A}\}$  a locally finite U- open covering. Then  $\mathcal{G}$  has an U- open barycentric refinement.

**Proof:** Shrink  $\mathcal{G}$  to an U- open covering  $\mathcal{B} = \{V_\alpha \mid \alpha \in \mathcal{A}\}$  such that  $\overline{V_\alpha} \subset G_\alpha$  for each  $\alpha$ ; clearly,  $\mathcal{B}$  is also locally finite. For each  $x \in X$ , define  $W(x) = \bigcap \{ G_\alpha \mid x \in \overline{V_\alpha} \} \cap \bigcap \{ \mathcal{C} \overline{V_\beta} \mid x \in \overline{V_\beta} \}$ .

We show that  $\mathcal{B}^* = \{W(x) \mid x \in X\}$  is the required U- open covering. Note that each  $W(x)$  is U- open: the local finiteness of  $\mathcal{B}$  assures that the first term is a finite intersection and that the last term,  $\mathcal{C} \cup \overline{V_\beta}$  is a U- open set. Next,  $\mathcal{B}^*$  is a U- covering, since  $x \in W(x)$  for each  $x \in X$ . Finally, fix any  $x_o \in X$  and choose a  $\overline{V_\alpha}$  containing  $x_o$ . Now, for each  $x$  such that  $x_o \in W(x)$ , we must have  $x \in \overline{V_\alpha}$  also, otherwise  $W(y) \subset \mathcal{C} \overline{V_\alpha}$ ; and because  $x \in \overline{V_\alpha}$ , we conclude that  $W(x) \subset G_\alpha$ . Thus,  $St(x_o, \mathcal{B}^*) \subset G_\alpha$ , and the proof is complete.

**Definition 2.8.** A U- covering  $\mathcal{B} = \{V_\beta \mid \beta \in \mathcal{B}\}$  is called a U- star refinement of the U- covering  $\mathcal{G}$  whenever the U- covering  $\{St(V_\beta, \mathcal{B}) \mid \beta \in \mathcal{B}\}$  refines  $\mathcal{G}$ .

**Theorem 2.10.** A U- barycentric refinement  $\mathcal{B}^*$  of a U- barycentric refinement  $\mathcal{B}$  of  $\mathcal{G}$  is a U- star refinement of  $\mathcal{G}$ .

**Proof:** Given  $W_o \in \mathcal{B}^*$ , choose a fixed  $x_o \in W_o$ . For each  $W \in \mathcal{B}^*$  such that  $W \cap W_o \neq \Phi$ , choose a  $z \in W \cap W_o$ ; then  $W \cup W_o \subset St(z, \mathcal{B}^*) \subset$  some  $V \in \mathcal{B}$ . Because each such V contains  $x_o$ , we conclude that  $St(W_o, \mathcal{B}^*) \subset St(x_o, \mathcal{B}) \subset$  some  $G \in \mathcal{G}$ . Since it is clear that a U- barycentric refinement of any refinement of  $\mathcal{G}$  is also a U-barycentric refinement of  $\mathcal{G}$ , it follows from Theorem-2.9 that each U- open covering of a paracompact U- space has an U- open barycentric, and an U- open star, refinement.

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Much more important, however, is that this property characterizes the paracompact U-spaces, not only among the Hausdorff U-spaces, but in fact also among the  $T_1$ -U-spaces.

**Theorem 2.11.** A  $T_1$ -U-space  $X$  is paracompact if and only if each U- open covering has an U- open barycentric refinement.

**Proof:** Only the sufficiency requires proof. We first show that any U- open covering  $\mathcal{G} = \{G_\alpha \mid \alpha \in \mathcal{A}\}$  has a refinement as in Theorem-2.5 and comment 2.1.

Let  $\mathcal{G}^*$  be an U- open star refinement of  $\mathcal{G}$  and let  $\{\mathcal{G}_n \mid n \geq 0\}$  be a sequence of U- open coverings, where each  $\mathcal{G}_{n+1}$  U-star refines  $\mathcal{G}_n$  and  $\mathcal{G}_0$  U-Star refines  $\mathcal{G}^*$ . Define a sequence of U-covering inductively by  $\mathcal{B}_1 = \mathcal{G}_1$ ,  $\mathcal{B}_2 = \{\text{St}(V, \mathcal{G}_2) \mid V \in \mathcal{B}_1\}, \dots, \mathcal{B}_n = \{\text{St}(V, \mathcal{G}_n) \mid V \in \mathcal{B}_{n-1}\}, \dots$

Each  $\mathcal{B}_n$  is an U-open refinement of  $\mathcal{G}_0$ ; in fact, each covering  $\{\text{St}(V, \mathcal{G}_n) \mid V \in \mathcal{B}_n\}$  refines  $\mathcal{G}_0$ : this is true for  $n = 1$  and, proceeding by induction, if it is true for  $n = k - 1$ , its truth for  $n = k$  follows by noting that whenever  $V = \text{St}(V_o, \mathcal{G}_k)$  for some  $V_o \in \mathcal{B}_{k-1}$ , then  $\text{St}(V_o, \mathcal{G}_k) = \text{St}[\text{St}(V_o, \mathcal{G}_k), \mathcal{G}_k] \subset \text{St}(V_o, \mathcal{G}_{k-1})$  because  $\mathcal{G}_k$  is a U-star refinement of  $\mathcal{G}_{k-1}$ .

Now well-order  $X$  and for each  $(n, x) \in Z^+ \times X$  define  $E_n(x) = \text{St}(x, \mathcal{B}_n) \cup \{\text{St}(z, \mathcal{B}_{n+1}) \mid z \text{ precedes } x\}$ . Then  $\mathcal{D} = \{E_n(x) \mid (n, x) \in Z^+ \times X\}$  is a U-covering: given  $p \in X$ , the set  $A = \{z \mid p \in \bigcup_{i=1}^{\infty} \text{St}(z, \mathcal{B}_i)\}$  is not empty, since  $p \in A$ ; if  $x$  is the first member of  $A$ , then  $p \in \text{St}(x, \mathcal{B}_n)$  for some  $n \in Z^+$  and  $p \in \text{St}(z, \mathcal{B}_{n+1})$  for all  $z$  preceding  $x$ , so  $p \in E_n(x)$ . Moreover, since  $\mathcal{B}_n$  refines  $\mathcal{G}_0$ , we find that  $\mathcal{D}$  refines  $\mathcal{G}^*$ .

Each  $G \in \mathcal{G}_{n+1}$  can meet at most one  $E_n(x)$ : for, if  $G \cap E_n(x) \neq \Phi$ , then there is a  $V \in \mathcal{B}_n$  with  $x \in V$  and  $V \cap G \neq \Phi$ , so  $x \in V \cup G \subset V_o \in \mathcal{B}_{n+1}$  and  $G \subset \text{St}(x, \mathcal{B}_{n+1})$ . Thus, if  $E_n(x)$  is the first set  $G$  meets, it cannot meet any  $E_n(p)$  for  $p$  following  $x$ .

Now let  $W_n(x) = \text{St}(E_n(x), \mathcal{G}_{n+1})$ . Then  $\mathcal{B}^* = \{W_n(x) \mid (n, x) \in Z^+ \times X\}$  is clearly an U- open covering of  $X$ . Furthermore,  $\mathcal{B}^*$  refines  $\mathcal{G}$  because  $\mathcal{D}$  refines  $\mathcal{G}^*$ . Finally, for each fixed  $n \in Z^+$ , the family  $\{W_n(x) \mid x \in X\}$  is locally finite: indeed, each  $G \in \mathcal{G}_{n+2}$  can meet at most one  $W_n(x)$ , because  $G \cap W_n(x) \neq \Phi$ , if, and only if,  $E_n(x) \cap \text{St}(G, \mathcal{G}_{n+2}) \neq \Phi$  and  $\text{St}(G, \mathcal{G}_{n+2})$  is contained in some  $G_o \in \mathcal{G}_{n-1}$  which we know can meet at most one  $E_n(x)$ .

The theorem will follow from Theorem 2.5 and comment 2.1, once we show that  $X$  is regular U- space. To this end, let  $B \subset X$  be U-closed and  $x \in B$ . Since in a  $T_1$ -U-space each point is a U- closed set,  $\mathcal{G} = \{X - x, \mathcal{C}B\}$  is an U- open covering. Let  $\mathcal{B}$  be an

U- open star refinement. Then  $St(x, \mathcal{B})$  and  $St(B, \mathcal{B})$  are the required disjoint neighborhoods of  $x$  and  $B$ : for if there were a  $V$  containing  $x$  and a  $V'$  meeting  $B$  such that  $V \cap V' \neq \Phi$ , then  $St(V, \mathcal{B})$  would contain  $x$  and points of  $B$ , which is impossible. The theorem is proved.

**Definition 2.9.** Let  $\mathcal{G} = \{G_\alpha \mid \alpha \in \mathcal{A}\}$  be an U- open covering of  $X$ . A sequence  $\{\mathcal{G}_n \mid n \in \mathbb{Z}^+\}$  of U- open coverings is called U- locally starring for  $\mathcal{G}$  if for each  $x \in X$  there exists an nbd  $V(x)$  and  $n \in \mathbb{Z}^+$  such that  $St(V, \mathcal{G}_n) \subset \text{some } G_\alpha$ .

**Theorem 2.12.** A  $T_1$ -U-space is paracompact if and only if each U- open covering  $\mathcal{G}$  there exists a sequence  $\{\mathcal{G}_n \mid n \in \mathbb{Z}^+\}$  of U- open coverings that is U- locally starring for  $\mathcal{G}$ .

**Proof:** "Only if" is trivial. "If": We can assume that  $\mathcal{G}_{n+1} \prec \mathcal{G}_n$  for each  $n \in \mathbb{Z}^+$ . Let  $\mathcal{B} = \{V \text{ open in } X \mid \exists n : [V \subset G \in \mathcal{G}_n] \wedge [St(V, \mathcal{G}_n) \subset \text{some } G_\alpha]\}$ . For each  $V \in \mathcal{B}$ , let  $n(V)$  be the smallest integer satisfying the condition. Because  $\{\mathcal{G}_n \mid n \in \mathbb{Z}^+\}$  is locally starring for  $\mathcal{G}$ , it follows that  $\mathcal{B}$  is a U- open covering; we will show that  $\mathcal{B}$  is in fact a U-barrycentric refinement of  $\mathcal{G}$ .

Let  $x \in X$  be fixed, let  $n(x) = \min\{n(V) \mid (x \in V) \wedge (V \in \mathcal{B})\}$ , and let  $V_o \in \mathcal{B}$  be a set containing  $x$  such that  $n(V_o) = n(x)$ .

For any  $V \in \mathcal{B}$  containing  $x$ , we have  $n(V) \geq n(x)$ , and consequently  $St(x, \mathcal{B}) \subset \bigcup \{St(x, \mathcal{G}_i) \mid i \geq n(x)\}$ . Since  $\mathcal{G}_{i+1} \prec \mathcal{G}_i$  for each  $i$ , this shows  $St(x, \mathcal{B}) \subset St(x, \mathcal{G}_{n(x)}) \subset St(V_o, \mathcal{G}_{n(V_o)}) \subset \text{some } G_\alpha$ . By Theorem-2.11,  $X$  is therefore paracompact.

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